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NOTICE OF ALLOWANCE AND FEE(S) DUE

27890

7590

06/17/2010

STEPTOE & JOHNSON LLP 1330 CONNECTICUT AVENUE, N.W. WASHINGTON, DC 20036 EXAMINER

AGWUMEZIE, CHARLES C

ART UNIT PAPER NUMBER

3685 DATE MAILED: 06/17/2010

| APPLICATION NO. FI | | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--------------------|------------|-------------|----------------------|---------------------|------------------|
| | 10/809,904 | 03/26/2004 | Mitsuaki Oshima | 28951.2011C11 | 7695 |

TITLE OF INVENTION: RECORDING MEDIUM REPRODUCER, CRYPTOCOMMUNICATION SYSTEM AND PROGRAM LICENSE SYSTEM

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO | \$1510 | \$300 | \$0 | \$1810 | 09/17/2010 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where n

| appropriate. All further correspondence including the Patent, advance indicated unless corrected below or directed otherwise in Block 1, by maintenance fee notifications. CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) | | | Note Fee(pape | e: A certificate of mail s) Transmittal. This cer ers. Each additional pag | ng can only be used fo tificate cannot be used f er, such as an assignme | rate "FEE ADDRESS" for r domestic mailings of the or any other accompanying nt or formal drawing, must |
|---|---|--|---|--|--|---|
| 27890 | 7590 06/17 | /2010 | have | its own certificate of n | 0 | |
| STEPTOE & JOHNSON LLP 1330 CONNECTICUT AVENUE, N.W. WASHINGTON, DC 20036 | | | I her State addr trans | reby certify that this Fe es Postal Service with s essed to the Mail Sto smitted to the USPTO (| ate of Mailing or Transite(s) Transmittal is being ufficient postage for first properties and the state of th | mission is deposited with the United it class mail in an envelope above, or being facsimile ate indicated below. |
| | | | | | | (Depositor's name) |
| | | | | | | (Signature) |
| | | | | | | (Date) |
| APPLICATION NO. | FILING DATE | | FIRST NAMED INVENTOR | ATT | ORNEY DOCKET NO. | CONFIRMATION NO. |
| 10/809,904 | 03/26/2004 | | Mitsuaki Oshima | • | 28951.2011C11 | 7695 |
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| nonprovisional | NO | \$1510 | \$300 | \$0 | \$1810 | 09/17/2010 |
| EXAM | INER | ART UNIT | CLASS-SUBCLASS | | | |
| AGWUMEZIE | , CHARLES C | 3685 | 705-056000 | | | |
| Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Custome Number is required. | | | or agents OR, alternative (2) the name of a single registered attorney or a 2 registered patent attorney on a mane will be | (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. | | |
| PLEASE NOTE: Unl recordation as set fort (A) NAME OF ASSIG | less an assignee is identi h in 37 CFR 3.11. Comp GNEE | ified below, no assignee sletion of this form is NO | T a substitute for filing an (B) RESIDENCE: (CITY | ntent. If an assignee is assignment. and STATE OR COUL | VTRY) | ocument has been filed for |
| Please check the appropr | iate assignee category or | categories (will not be p | rinted on the patent): | Individual — Corpor | ation or other private gro | oup entity Government |
| 4a. The following fee(s) are submitted: ☐ Issue Fee ☐ Publication Fee (No small entity discount permitted) ☐ Advance Order - # of Copies | | | b. Payment of Fee(s): (Plea A check is enclosed. Payment by credit car The Director is hereby overpayment, to Depo | d. Form PTO-2038 is a | ttached. e required fee(s), any de | |
| ** | s SMALL ENTITY statu | is. See 37 CFR 1.27. | b. Applicant is no long | - | | FR 1.27(g)(2). The assignee or other party in |
| interest as shown by the | records of the United Sta | tes Patent and Trademark | of from anyone other than to coffice. | ne applicant; a registere | a attorney or agent; or th | e assignee or other party in |
| Authorized Signature | | | Date | | | |
| Typed or printed name | | | | - | | |
| This collection of inform an application. Confiden- submitting the complete this form and/or suggesti Box 1450, Alexandria, V Alexandria, Virginia 223 | tiality is governed by 35 diapplication form to the tons for reducing this but irginia 22313-1450. DC | FR 1.311. The informati U.S.C. 122 and 37 CFR USPTO. Time will vary den, should be sent to th O NOT SEND FEES OR | on is required to obtain or r 1.14. This collection is est depending upon the indiv the Chief Information Office COMPLETED FORMS TO | etain a benefit by the puimated to take 12 minuidual case. Any commer, U.S. Patent and Trad D'THIS ADDRESS. SE | ablic which is to file (and tes to complete, including that on the amount of tire temark Office, U.S. Dept ND TO: Commissioner | by the USPTO to process) g gathering, preparing, and me you require to complete artment of Commerce, P.O. for Patents, P.O. Box 1450, |

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.

OMB 0651-0033

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| 27890 75 | 590 06/17/2010 | | EXAM | INER |
| STEPTOE & JO | HNSON LLP | AGWUMEZIE | , CHARLES C | |
| 1330 CONNECTICUT AVENUE, N.W. | | | ART UNIT | PAPER NUMBER |
| WASHINGTON, I | OC 20036 | | 3685 | |
| | | | DATE MAILED: 06/17/201 | 0 |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 797 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 797 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

| | Application No. | Applicant(s) | | | | |
|--|---|--------------------|--|--|--|--|
| | 10/809,904 | OSHIMA ET AL. | | | | |
| Notice of Allowability | Examiner | Art Unit | | | | |
| | CHARLES C. AGWUMEZIE | 3685 | | | | |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308. | | | | | | |
| 1. This communication is responsive to <u>communication filed or</u> | <u> </u> | | | | | |
| 2. The allowed claim(s) is/are <u>39, 40-42 and 44</u> . | | | | | | |
| 3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some* c) None of the: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)). * Certified copies not received: | | | | | | |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. | | | | | | |
| 4. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient. | | | | | | |
| 5. CORRECTED DRAWINGS (as "replacement sheets") mus | st be submitted. | | | | | |
| (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached | | | | | | |
| 1) hereto or 2) to Paper No./Mail Date | | | | | | |
| (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date | | | | | | |
| Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t | | | | | | |
| 6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. | | | | | | |
| Attachment(s) 1. ☑ Notice of References Cited (PTO-892) 2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948) 3. ☑ Information Disclosure Statements (PTO/SB/08), | 5. ☐ Notice of Informal F 6. ☐ Interview Summary Paper No./Mail Da 7. ☐ Examiner's Amend 8. ☑ Examiner's Statemen | r (PTO-413), te | | | | |
| /Charlie C Agwumezie/ Primary Examiner, Art Unit 3685 June 15, 2010 | 5. [] Other | | | | | |

Continuation of Attachment(s) 3. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date: 03/26/04; and 01/11/2007.